

This work has been submitted to **NECTAR**, the **Northampton Electronic Collection of Theses and Research**.

Conference or Workshop Item

Title: OA advocacy in the context of HEFCE and other funders' requirements

Creator: Pickton, M.

Example citation: Pickton, M. (2016) OA advocacy in the context of HEFCE and other funders' requirements. Invited Presentation presented to: *Open Access Good Practice Event, Queens University, Belfast, 26 July 2016*.

Version: Presented version

<http://nectar.northampton.ac.uk/8691/>



OA advocacy in the context of HEFCE and other funders' requirements

Miggie Pickton

**Open Access Good Practice Event
Belfast
26th July 2016**



OA: what do funders want?



RCUK: Preference for “immediate and unrestricted access to the final published version of the paper, which should be made available using the Creative Commons Attribution (CC BY) Licence” ([RCUK OA policy](#)).



HEFCE: “to be eligible for submission to the next REF, authors’ final peer-reviewed manuscripts must have been deposited in an institutional or subject repository.” ([HEFCE OA policy](#))

The Open to Open Access (O2OA) project

- An OAGP project
- Partners: Coventry (project lead), De Montfort and Northampton
- Purpose of the project was to develop processes and workflows to support researchers in meeting funders' open access requirements – with little or no dedicated budget
- We started with a user needs analysis – using focus groups and interviews with researchers to establish their knowledge and understanding of OA and funders' requirements
- It was clear that awareness of OA and the services to support it varied hugely – need for ADVOCACY was a recurring theme

Need for advocacy

- To support compliance
- To increase OA knowledge and understanding
- To improve engagement
- To address myths and misconceptions
- To counter valid concerns
- To promote benefits of OA
- To build confidence
- To promote OA support services

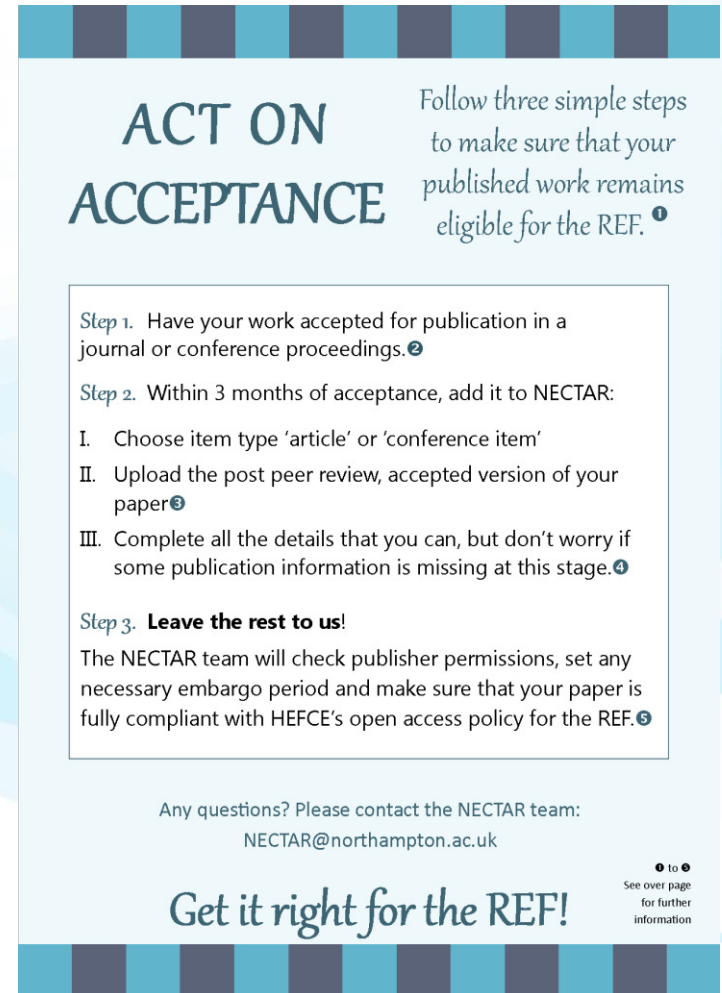
OA advocacy: face to face

- Presentations to research groups, School awaydays, research committees etc.
- Targeted approaches to research leaders and facilitators
- Updates for professional colleagues (academic librarians, Research Office)
- OA conversations embedded within existing interactions



OA advocacy: guidance

- Leaflets and guides: e.g. [‘OA in the research lifecycle’ guide](#); [‘Act on Acceptance’ leaflet](#)
- Newsletters, [blog posts](#), email correspondence
- One-to-one support and training



ACT ON ACCEPTANCE

Follow three simple steps to make sure that your published work remains eligible for the REF. ¹

Step 1. Have your work accepted for publication in a journal or conference proceedings. ²

Step 2. Within 3 months of acceptance, add it to NECTAR:

- Choose item type ‘article’ or ‘conference item’
- Upload the post peer review, accepted version of your paper ³
- Complete all the details that you can, but don’t worry if some publication information is missing at this stage. ⁴

Step 3. Leave the rest to us!

The NECTAR team will check publisher permissions, set any necessary embargo period and make sure that your paper is fully compliant with HEFCE’s open access policy for the REF. ⁵

Any questions? Please contact the NECTAR team:
NECTAR@northampton.ac.uk

Get it right for the REF!

¹ to ⁵
See over page for further information

OA advocacy: policy and process

- OA policy
 - Led by Coventry, all 3 project partners reviewed and updated their institutional OA policy
 - Policies complemented funders' requirements and fit with wider University priorities
 - Process refreshed OA knowledge and generated debate among senior researchers and managers
- Coventry: mock REF exercise – Research Office led but significant support from Library (incl new staff)

What advocacy can you share?

Open access and what it means for you

PLoS definition - "unrestricted access and unrestricted reuse"



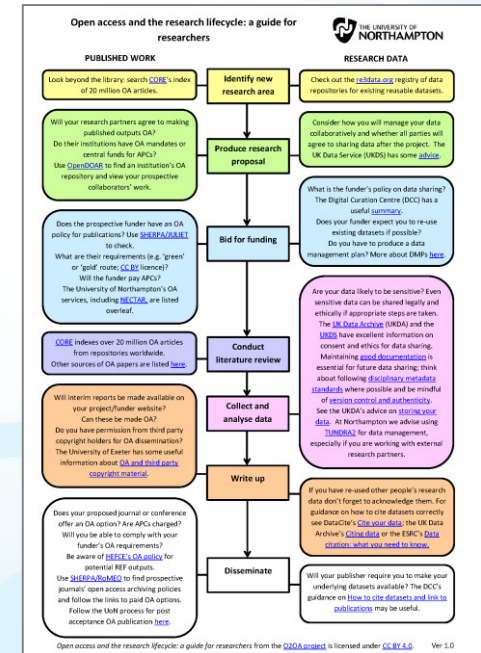
CHECK OUT WHAT'S ON RADAR

The open access digital archive for Oxford Brookes

OPEN ACCESS WEEK 19 - 25 OCTOBER

www.openaccessweek.org

www.brookes.ac.uk/go/radar



Blog Guide to Open Access for Support Staff Ask an OA Colleague OA Advocacy Presentation

openWorks

The North West Open Access Community

Search

openWorks is a JISC Pathfinder project that aims to develop a North West community of practice for Open Access Publishing. It builds on Manchester's successful service implementation during the first year of RCUK compliance requirements and block grant funding, developing and adapting guidelines to meet the varying needs of local universities; reducing the burden of compliance and maintaining research excellence.

One of the main outputs of this project is the Toolkit, a collection of resources that is intended to support staff who are new to working with OA or for whom OA is only a fraction of their role. The content was developed in response to comments and feedback from workshop attendees and staff from some of the openWorks partner institutions.

This toolkit includes:

- Guide to Open Access for Support Staff
- Ask an OA Colleague - some frequently asked questions
- OA Advocacy Presentation - a presentation that covers the basics of open access and advice on how to modify it for your local needs

To keep up to date with the next phase of the project, please see the [openWorks](http://openfunder.org) blog

How do I ensure that my work is REF-eligible?

Upload it to Pure as soon as it is **accepted** for publication*

* This is HEFCE's REF Open Access policy, which applies to journal & conference articles with an ISSN. Please check that your publisher allows you to comply with HEFCE without any cost before you submit your work for publication.

www.hefce.ac.uk

HOW DID YOU SCORE?

0-15
You are only just beginning to consider open access and what its implications are for you and your research. You are not aware that your institution has done very much to support OA. Have you tried looking for information about OA on your University's webpage?
Suggestion: Get your colleagues to take the test and see if they come up with the same answer.

15-30
You have a rudimentary understanding of open access which has helped you get by so far. Your institution has carried out preliminary work in establishing support for OA but it needs to be more embedded if it is to help you. You know what you need to do, most of the time, but are not sure of how you can be helped, and by whom.
Suggestion: Familiarise yourself with your institution's policies and find out who has overall responsibility for OA and what they can do to support you and your research.

30-50
You have a good understanding of open access and are heading in order to comply with requirements placed on you and your research. Your institution is providing good support to meet your current needs but procedures are new and it is sometimes a little confusing.
Suggestion: Work to embed an awareness of OA requirements in all your research to ensure that your outputs conform to all necessary mandates.

Over 50
You are confident in your understanding of open access and your institution is supporting you well. You are ready to deal with any new requirements of you and your research to make sure that you comply with institutional, funder, and HEFCE mandates.
Suggestion: Spread the word. Talk to your colleagues who may be less confident than you and become an OA champion for your department, faculty, or institution.

WHERE CAN I FIND IT?

This resource is available from <http://bit.ly/1OCNW> and is licensed under [CC BY 4.0](http://openfunder.org).

This license lets others distribute, remix, tweak, and build upon your work, even commercially, as long as they credit you for the original creation. This is the most accommodating of licenses offered. Recommended for maximum dissemination and use of licensed materials.

CONTACTS

Oxford Brookes University
Project Director
Stuart Hunt stuart.hunt@brookes.ac.uk
Project Manager
Rosa Housley rosa.housley@brookes.ac.uk

Nottingham Trent University
Ruth Stubbing ruth.stubbing@ntu.ac.uk
Cllr Neil O'Neil neil.oonil@ntu.ac.uk

University of Portsmouth
Andrew Simpson
andrew.simpson@port.ac.uk
Emily Bennett emily.bennett@port.ac.uk

ABOUT MIAO

MIAO is a self-assessment tool for researchers to assess how prepared they think they, and their institution, are for Open Access (OA) compliance. This is based on CDAO - Collaborative Institutional Assessment of Open Access - a benchmarking tool for assessing institutional readiness for Open Access (OA) compliance.

This tool has been produced as part of the JISC OA Pathfinder: Making Sense: a researcher-centred approach to funder mandate. The project runs from June 2014 - June 2016 and will explore researcher behaviours using sensemaking techniques, trying to get researchers to comply with UK research open access mandates because they want to rather than having to. The lead institution is Oxford Brookes University, associated are Nottingham Trent University and University of Portsmouth.

HOW CAN IT BE USED?

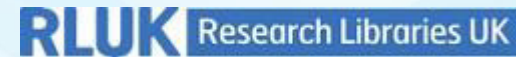
MIAO is a tool for self-assessment. You can use it to gauge your own understanding of OA. It can also be used to assess your understanding of your own institution's behaviour.

Tick the statement that you agree with most for each of the capabilities. For the analysis to be effective it is important that you be honest in your responses.

Once completed, add up your score and use the scale to mark your results. Remember, these are no incorrect answers.

Acknowledgements

Thank you to our funders:



Further information about the Open Access Good Practice programme is available on the [OA Good Practice blog](#)

